

(June 8, 2015)

## **Securities Registration & Deposit Instructions**

### **All Book Entry eligible shares MUST be delivered through DTC, DRS or DWAC (if not DTC participant) as follows:**

DTC # 954  
Agent Bank # 26017

Reference: UTAH & CO  
Acct # AUZF0324702

Two business days prior to actual delivery, we request an excel list of the securities, including cusip numbers, number of shares, issue names, and the delivering party's DTC participant number. Please email the list to [upch.custody@xerox.com](mailto:upch.custody@xerox.com).

### **Register and deliver Physical Certificates, ONLY if unable to deliver through DTC, DRS or DWAC:**

UTAH & CO  
FEIN # 33-1059625

Acct # AUZF0324702

The Depository Trust Company  
570 Washington Blvd – 5<sup>th</sup> Fl  
Jersey City, NJ 07310  
Attn. BNY Mellon/ Branch Deposit Department/AUZF0324702

Please note: Physical certificates will be returned if DTC, DWAC or DRS eligible.

Two days prior to actual delivery, we request an excel list of the securities, including cusip numbers, number of shares, issue names, and the delivering party's information. Please email the list to [upch.custody@xerox.com](mailto:upch.custody@xerox.com).

### **For Mutual Funds**

Please register open end mutual fund accounts held for the State of Utah in the name of MAC & CO. 48 -72 hours prior to delivery please email your request to [upch.custody@xerox.com](mailto:upch.custody@xerox.com) to obtain a unique account number.

If the fund chooses to establish a new account number and register Mutual Funds as indicated below please provide a detailed list 2 business days in advance at [UPCH.Custody@xerox.com](mailto:UPCH.Custody@xerox.com). The list should contain the CUSIP #, name of fund, share amount, and account number at the fund.

Mac & Co  
Account # Auzf0324702  
Tax ID 23-6019000  
State of Utah  
Mutual Fund Operations  
PO Box 3198  
Pittsburgh, PA 15230-3198

NOTE: It is important that the registration reference account number AUZF0324702. This identifies the fund as belonging to the State of Utah.

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Please send interested party statements for open end and closed end accounts to the following:  
Xerox State and Local Solutions  
Custody Department  
100 Hancock Street, 10<sup>th</sup> Floor  
Quincy, MA 02171

**A confirmation statement showing the ‘State of Utah’ as the owner of the shares must accompany your Report of Unclaimed Property, in order for your report to be complete.**

**For Dividend Reinvestments Plans (DRP)**

Close DRP accounts and forward whole shares via DTC (See DTC instructions above).

Fractional shares must be sold at the owner level and money applied to each individual owner. Do not total fractions for all owners and sell.

Please include the original check with your Report of Unclaimed Property. Each reported shareowner’s records must include the owner name, last transaction date, number of shares, and cash amount remitted; if applicable.

**For Foreign Securities**

Please contact our securities custodian for instructions:

Xerox State and Local Solutions

Email: [UPCH.Custody@xerox.com](mailto:UPCH.Custody@xerox.com)

**For Federal Reserve Securities**

Please deliver as follows:

Federal Reserve Bank of New York

ABA#0210-0001-8

Bk of NYC/Trust (AUZF0324702)